

**Notice of Allowability**

Application No.

10/016,674

Applicant(s)

DWECK ET AL.

Examiner

Melissa M. Chojnacki

Art Unit

2164

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 15-April-2005.
2. ☒ The allowed claim(s) is/are 26, and 38-52; Now re-numbered as claims 1-16.
3. ☒ The drawings filed on 30-October-2001 (figures 1-14) are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

**SAM RIMELL**  
**PRIMARY EXAMINER**

## DETAILED ACTION

### Remarks

1. In response to the Appeal Brief filed on April 15, 2005, claims 26 and 38-52 are presently pending in the application.

### *Allowable Subject Matter*

2. Claims 26 and 38-52 are allowed over prior art made of record.

3. The following is an examiner's statement of reasons for allowance:

The Appellants' arguments in the Appeal Brief filed on April 15, 2005, have been fully considered and are found persuasive.

The prior art of record Shirley et al. (U.S. Patent No. 5,737,739), and Anderson et al. (U.S. Patent No. 6,510,434), does not teach, disclose or suggest:

A computer-implemented method of facilitating access to investment research documents comprising of receiving an indication of a first document tag from the content publisher and automatically determining an associated tag for the document based on a rule associated with the first document tag, as claimed in independent claim 26.

Claims 38-48 are allowed because they are dependent on independent claim 26.

A computer-implemented method of facilitating access to documents comprising of automatically assigning a second document tag to the document based on a rule associated with the first document tag, as recited in independent claim 37.

An apparatus, comprising of receiving an investment research document from a content publisher wherein receiving an indication of a first document tag from the content publisher, and automatically determining an associated tag for the document based on a rule associated with the first document tag then transmitting an indication of the associated tag to the content publisher, as recited in independent claim 49.

Claims 50-51 are allowed because they are dependent on independent claim 49.

A medium storing instructions adapted to be executed by a processor to perform a method of facilitating access to documents wherein receiving an indication of a first document tag from the content publisher, and automatically determining an associated tag for the document based on a rule associated with the first document tag then transmitting an indication of the associated tag to the content publisher, as recited in independent claim 52.

4. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mellissa M. Chojnacki whose telephone number is (571) 272-4076. The examiner can normally be reached on 9:00am-5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Rones can be reached on (571) 272-4085. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

June 14, 2005  
Mmc